

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Daisuke ITOU

Application No.: 10/523,788

Filed: February 09, 2005

For: LIGHTING UNIT AND LIQUID CRYSTAL DISPLAY DEVICE USING THE SAME



Customer Number: 20277

Confirmation Number: Not yet assigned

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

REQUEST FOR OFFICIAL FILING RECEIPT

Mail Stop OFR
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The above-referenced patent application was filed in the U.S. Patent and Trademark Office on February 9, 2005, as evidenced by the attached copies of the return receipt postcard and Transmittal Letter. To date, the undersigned has not received an Official Filing Receipt regarding this application.

It is respectfully requested that the undersigned be furnished with an Official Filing Receipt as soon as possible.

RECEIVED
OIPE/IAP

SEP 27 2005

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

Michael E. Fogarty
Registration No. 36,139

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Washington, DC 20005-3096
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Date: September 12, 2005

Please recognize our Customer No. 20277
as our correspondence address.



Applicant: Daisuke ITOU Docket No. 61352-091

Title: LIGHTING UNIT AND LIQUID CRYSTAL DISPLAY DEVICE USING THE SAME Serial/Reg./Patent No. Not yet assigned

Date Sent: 2/9/2005 ☒ Hand Carried ☐ Fax ☐ Electronic ☐ Cert. of Mailing ☐ First Class Mail ☐ Express Mail No.

☒ Transmittal Letter ☐ New Patent App ☐ Utility ☐ Design ☐ Cont. ☐ CIP ☐ Div. ☒ PCT ☐ RCE ☐ Prov

☐ Other: 12 pages of Specification ☐ Letter submitting pages of drawings

2 pages of Claims ☐ Req. for Approval of Drawing Amendments

1 pages of Abstract ☐ Req. for Oral Hearing

2 pages of Formal/Informal Drawings ☐ Not of Appeal ☐ Appeal Brief ☐ Reply Brief

☐ Small Entity ☒ Large Entity ☐ Rule 312 Amendment/Letter ☐ Req. for Acknowledgement of Cited Art

☒ Declaration/Power of Attorney ☐ Issue Fee

☒ Recordation of Assignment ☐ Publication Fee

☒ Information Disclosure Statement ☐ Req. for Certificate of Correction

1 Form PTO 1449 ☐ Maintenance Fee for years after grant

2 copies of cited references ☐ Fee Address Indication Form

☐ Preliminary Amendment ☐ Terminal Disclaimer

☐ Response to Missing Parts Notice ☐ PCT Request

☐ Resp. to Notice to Correct App. Papers ☐ PCT/IB/301 and PCT/IB/308

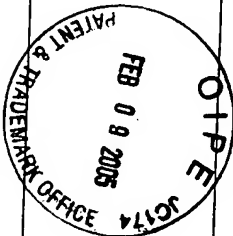
☐ Certified Copy of Priority Doc. ☐ Front Page of International Application

☐ Claim for Convention Priority ☐ Request for month Extension of Time

☐ Response/Amendment to Office Action of

Check for \$	<input checked="" type="checkbox"/> Charge Deposit Acct. 500417	\$940.00	Atty Init.	MEF	Trpr. #	3328	Secy. or PL	ET/English
CMS Descrip:	<u>1-300</u>	<u>4-40</u>	<u>31-400</u>	<u>32-200</u>				

THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.



TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

61352-091

U.S. APPLIC. NO. (if known, see 37 CFR 1.5)
Not yet assigned

INTERNATIONAL APPLICATION NO

PCT/JP2002/008228

INTERNATIONAL FILING DATE

August 12, 2002

PRIORITY DATE CLAIMED

TITLE OF INVENTION

LIGHTING UNIT AND LIQUID CRYSTAL DISPLAY DEVICE USING THE SAME

APPLICANTS FOR DO/EO/US

Daisuke ITOU

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not transmitted by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendment has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English translation of the International Application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
 - 1) PCT/IB/301
 - 2) PCT/IB/308
 - 3) Front Page of International Application
 - 4) PCT Request

U.S. APPLIC. NO. (if known, see 37 CFR 1.50) Not yet assigned		INTERNATIONAL APPLICATION NO. PCT/JP2002/008228		ATTORNEY'S DOCKET NUMBER 61352-091	
				CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> The following fees are submitted:					
<input checked="" type="checkbox"/> Basic National Stage Fee		\$300		\$300.00	
22. <input checked="" type="checkbox"/> Examination Fee					
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)		\$100		\$200.00	
All other situations		\$200			
23. <input checked="" type="checkbox"/> Search Fee					
Search fee (37 CFR 1.445(1)(2)) has been paid on the international application to the USPTO as an International Searching Authority		\$100		\$400.00	
International Search Report prepared and provided to the Office		\$400			
All other situations		\$500			
TOTAL OF 21, 22 and 23 =				\$900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				\$	
Total Sheets	Extra Sheets	Number of each additional 50 or fraction Thereof (round up to a whole number)	RATE		
-100	/50=		X\$250	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	7-20 =	0	x \$50.00	\$	
Independent Claims	1-3 =	0	x \$200.00	\$	
Multiple dependent claim(s) (if applicable)			+ \$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$900.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				\$	
SUBTOTAL =				\$900.00	
Processing fee of \$130.00 for furnishing the English translation later than the 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$
TOTAL NATIONAL FEE =					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$40.00
TOTAL FEES ENCLOSED =				\$940.00	
				Amount to be refunded	\$
				Amount to be charged	\$940.00
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>500417</u> in the amount of <u>\$940.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500417</u>. A duplicate copy of this sheet is enclosed.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>					
SEND ALL CORRESPONDENCE TO:					
Customer Number 20277					
Telephone: 202.756.8000					
Facsimile: 202.756.8087					
			February 9, 2005		
			DATE		
			SIGNATURE		
			Michael E. Fogarty		
			NAME		
			36,139		
			REGISTRATION NUMBER		

BASED ON FORM PTO-1390 (Rev. 2-2005)